

# Optimal Design for Ultra-Broad-Band Amplifier

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**Abstract**—We propose a novel hybrid genetic algorithm (GA) based on such techniques as clustering, sharing, crowding, and adaptive probability. The proposed GA can effectively solve multimodal optimization, including the global and local optima in the distributed multipump Raman amplifier (DMRA). The simulation results show that the optimal signal bandwidth  $\Delta\lambda$  can be evidently broadened by means of increasing the number of pumps and that  $\Delta\lambda$  decreases with the increase of Raman gain and the improvement of the flatness property. The optimal results show that the hybrid erbium-doped fiber amplifier and DMRA can availably overcome the weakness of pure DMRA and that both higher gain and broader bandwidth can be realized in hybrid amplifiers simultaneously.

**Index Terms**—Erbium-doped fiber amplifier (EDFA), genetic algorithm (GA), global optimization, multimodal optimization, Raman fiber amplifier, Raman scattering.

## I. INTRODUCTION

WAVELENGTH-DIVISION multiplexing (WDM) based on fiber amplifiers provides a transmission platform for the optical fiber communication systems and networks. Although the erbium-doped fiber amplifier (EDFA) has been utilized in WDM systems since the 1980s, almost every long-haul or ultra-long-haul fiber-optic transmission system recently uses Raman amplifiers or hybrid Raman/EDFAs [1]–[5]. By appropriately choosing wavelengths and powers of pump waves, Raman fiber amplifiers can provide broader amplification bandwidth and flexible center wavelength compared with pure EDFAs. Distributed multipump Raman amplifiers (DMRAs) help to significantly improve the noise characteristics, to realize longer transmission distances, and to upgrade existing systems [1]–[12]. In addition, the DMRA can mitigate fiber nonlinear effects and improve the optical signal-to-noise ratio without the need to increase the input optical signal power [1], [6].

In principle, the DMRA can obtain the arbitrary gain spectra by the proper choice of pump wavelengths and powers. However, the strong Raman interactions of pump-to-pump, signal-to-signal, and pump-to-signal make the problem of the required gain spectra become somewhat difficult for the optimal design of DMRA. Therefore, the DMRA design presents a grand challenge to numerical optimization. It involves multiple powers and wavelengths.

Another exciting development in Raman technology is the conjunction with EDFA to form hybrid amplifiers, especially

when the system capacity needs to be upgraded by increasing the bandwidth expansion without raising channel speed [2]–[4]. When designed together, the EDFA and Raman amplifier offer better noise performance and pump efficiency than each separate amplifier [2]–[5].

The genetic algorithm (GA) is a global optimization method, which mimics the natural evolution and natural genetics. It has been successfully applied to finding the global optimum in a variety of unimodal domains. Unfortunately, a traditional GA tends to converge toward a single solution due to selection pressure, selection noise, and operator disruption [13]. However, some problems require the identification of multiple optima in the domains, or even multiple best peaks are equally meaningful. For this purpose, some methods such as the K-means algorithm, clustering, sharing, and crowding are proposed to extend the traditional GA to solve multimodal function optimization by forcing a GA to maintain a diverse population of members throughout its research [14]–[19].

Recently, although some methods [20]–[22] have been proposed to select the appropriate wavelengths and pump powers in Raman fiber amplifiers, their results are far from optimization [23]. Perlin and Winful employ GA to optimize the gain-flatness and gain-bandwidth performance. The optimal results are exciting, but they only obtain a single optimal solution in each domain [23], [24], and even their methods may be trapped in local optima of the search space due to the intrinsic weakness of the traditional GA (see the proof in Section IV). In [25], employing the neural network method optimizes the Raman-gain spectrum. However, it only partly obtains the optimization in pump power excluding wavelengths. We reported a hybrid GA for optimizing the DMRA design in [26]. This hybrid GA is modified in this paper, and then its searching ability is improved. In addition, we obtain the optimal results of bandwidth versus pump number, flatness, and gain, and we compare pure DMRA with hybrid EDFA/DMRA. These results can be extremely helpful in the real design of the DMRA.

This paper is organized as follows. In Section II, a novel hybrid genetic algorithm is proposed. The mathematical model for DMRA is given in Section III. A function is applied to testify our proposed GA in Sections IV. In Section V, the proposed algorithm is applied to a pure Raman amplifier and hybrid EDFA/DMRA, and some optimal results are obtained.

## II. HYBRID GENETIC ALGORITHM

GAs can establish objective functions without difficulty, regardless of whether the information comes from a simple equation or a very complex model [13], [27]. To identify multiple optima in multimodal domains, various population diversity mechanisms have been proposed. For example, sharing and crowding are two best-known niching techniques, which can maintain

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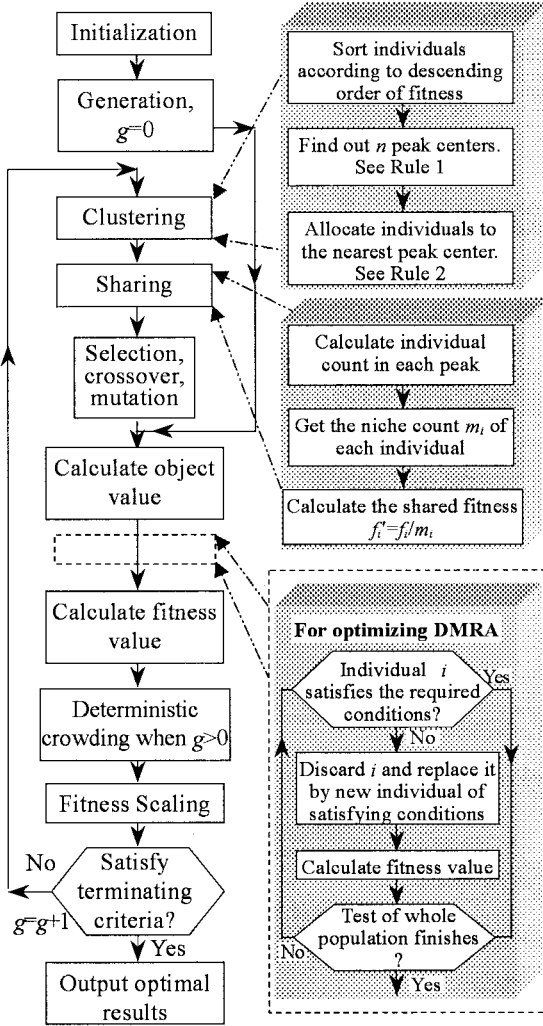


Fig. 1. Flowchart for our proposed GA.

many individuals in proportion to their fitness [13], [16]. Adaptive probabilities of crossover and mutation and adaptive genetic operators are employed to modify traditional GA [28], [29]. Clustering method provides a viable way to avoid local extrema, and it is a popular unsupervised pattern classification technique which partitions the input space into  $K$  regions based on some similarity/dissimilarity metric.

Based on the hybrid GA in [26], a modified hybrid GA is shown in Fig. 1. This GA employs such techniques as clustering, sharing, crowding, adaptive genetic operators, and fitness scaling. The main parts of the proposed GA are given as follows.

#### A. Initialization, Clustering, Sharing, and Crowding

In the initialization, one initializes the number of peak center  $n$ , the shortest niche radius  $r$ , and the number  $k$ ; generates  $N$  individuals randomly; and sets the number of generation  $g = 0$ . The procedure of clustering is demonstrated in Fig. 1, and Rules 1 and 2 are shown in [26].

Each peak can be considered as a niche in the multimodal domain. Sharing, one of niching techniques, can maintain population diversity effectively. The shared fitness  $f'_i$  of an individual

$i$  is given by  $f'_i = f_i/m_i$ , where  $f_i$  is the genuine fitness and  $m_i$  is the niche count.  $m_i$  is equal to the individual count in the peak of individual  $i$ . The deterministic crowding is employed in our GA. Its detailed description is shown in [16].

#### B. Selection, Crossover, and Mutation

Selection, crossover, and mutation are based on the traditional GA. There are two essential characteristics in GA for optimizing multimodal functions. One is to converge to an optimum and the other is to explore new regions of the search space. By varying the crossover and mutation operators adaptively, the tradeoff between the exploration and exploitation can be obtained [28]. Srinivas and Patnaik had proposed adaptive crossover and mutation operators, which can prevent the premature convergence effectively [28]. However, their approach leads the best individual in the population to the undisrupted transfer into the next generation. In fact, it does not agree with the natural evolution. Therefore, we employ novel crossover and mutation operators, i.e., all individuals will have chances to reproduce the offspring generations with adaptive probabilities of crossover and mutation. That is

$$p_c = \begin{cases} p_{ch} - (p_{ch} - p_{cl}) \times (f_{m2} - f_{ave}) / (f_{max} - f_{ave}), & \text{if } f_{m2} > f_{ave} \\ p_{ch}, & \text{otherwise} \end{cases} \quad (1)$$

$$p_m = \begin{cases} p_{mh} - (p_{mh} - p_{ml}) \times (f - f_{ave}) / (f_{max} - f_{ave}), & \text{if } f > f_{ave} \\ p_{mh}, & \text{otherwise} \end{cases} \quad (2)$$

where  $p_c$ ,  $p_{ch}$ , and  $p_{cl}$  are the probability of adaptive crossover, highest crossover, and lowest crossover; and  $p_m$ ,  $p_{mh}$ , and  $p_{ml}$  are the probability of adaptive mutation, highest mutation, and lowest mutation, respectively.  $f_{max}$  and  $f_{ave}$  are the maximum fitness and average fitness of the entire population, respectively.  $f_{m2}$  is the maximum fitness of the two chromosomes being crossed, and  $f$  is the fitness of the chromosome.

To prevent GA from being trapped in local optima, we use the average fitnesses to extend the search space for the region containing the global optimum and assign  $p_{ch} = 0.99$  and  $p_{mh} = 0.02$ . These ensure that almost all solutions with a fitness value no more than  $f_{ave}$  should undergo the crossover and mutation completely. When the fitness value approaches  $f_{max}$ , the probabilities  $p_c$  and  $p_m$  are the lowest values of  $p_{cl} = 0.7$  and  $p_{ml} = 0.005$ .

#### C. Fitness Scaling

GA chooses individuals randomly in terms of the fitness values of individuals, and the optimization procedure is based on the fitness function that is created by the objective function and the restrictive conditions. Thus, the fitness function is the most crucial aspect of GA, and usually it is designed to select the best individual in the region where all the constraints are satisfied. However, the domination of “superindividuals” in the population can cause the GA to converge prematurely. One way to improve sharing efficiency is to use fitness scaling [27]. A good fitness scaling can both maintain the diversity among optima and prevent premature convergence due to “superindividuals.”

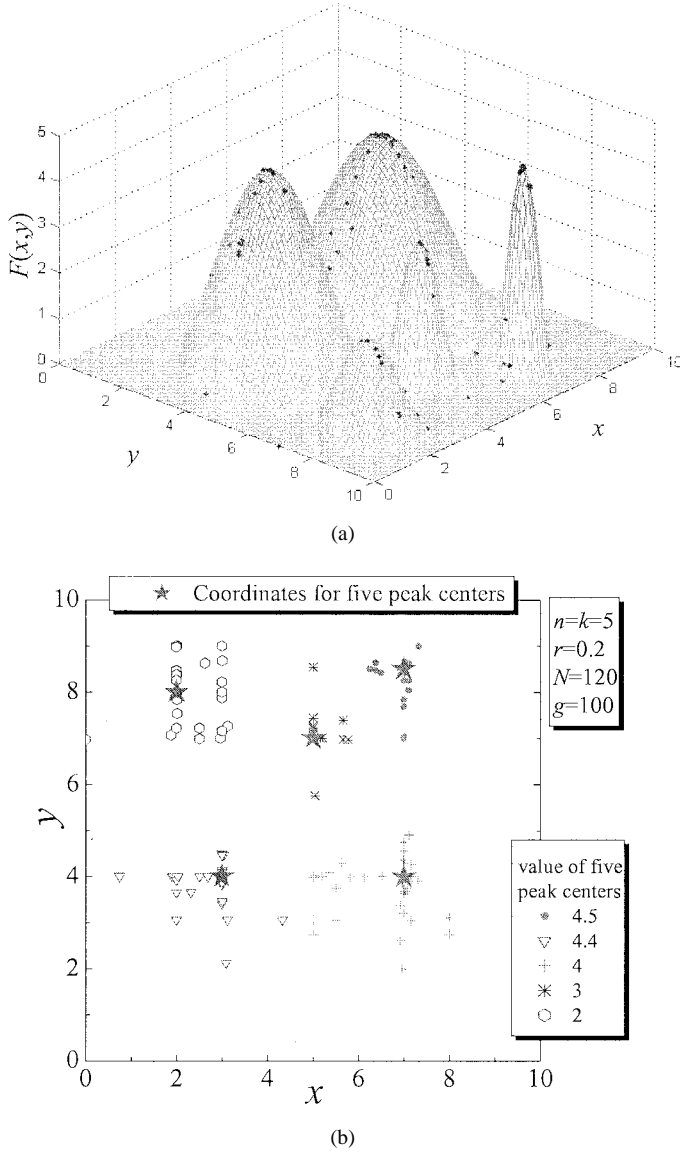


Fig. 2. Test function  $F(x, y)$  and the entire population in the last generation (a) for the three-dimension figure of  $F(x, y)$  and (b) for the projection of all individuals of (a) in the  $xy$  plane. Five different symbols in (b) represent the individuals of five peak centers, respectively.

The most common scaling paradigm, called linear scaling, requires a linear relationship between raw fitness  $f$  and scaled fitness  $f'$ . This relationship is as follows [27]:

$$f' = a \cdot f + b \quad (3)$$

and

$$\begin{cases} a = (C_m - 1)f_{\text{ave}}(f_{\text{max}} - f_{\text{ave}}) \\ b = (f_{\text{max}} - C_m f_{\text{ave}}) \times f_{\text{ave}}(f_{\text{max}} - f_{\text{ave}}) \end{cases}, \quad \text{if } f_{\text{min}} > \frac{C_m f_{\text{ave}} - f_{\text{max}}}{C_m - 1}$$

$$\begin{cases} a = f_{\text{ave}}(f_{\text{ave}} - f_{\text{min}}) \\ b = -a \cdot f_{\text{min}} \end{cases}, \quad \text{otherwise} \quad (4)$$

where  $C_m$  is the number of expected copies desired for the best population member and the typical value of  $C_m$  is from 1.2 to 2.  $C_m = 1.2$  in our simulation;  $f_{\text{min}}$  is the minimum fitness of the entire population; other parameters are the same as in (1)

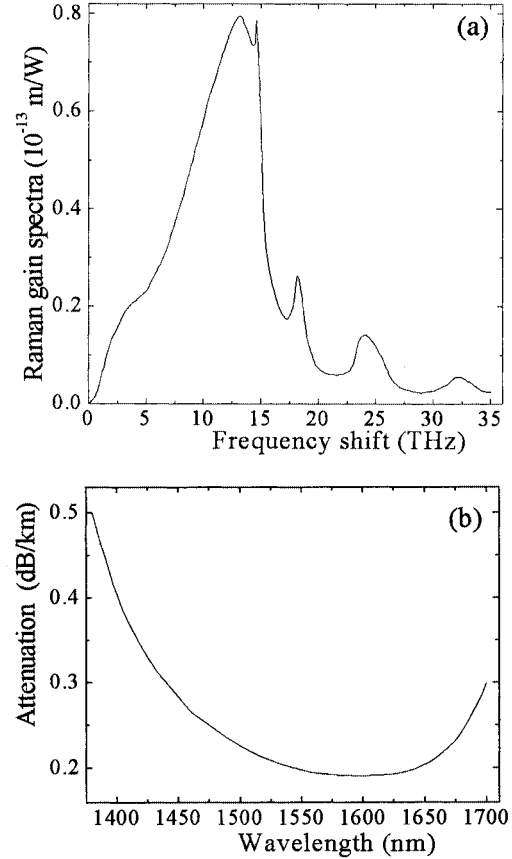


Fig. 3. Raman gain spectrum  $g_R(\Delta v)$  of the fiber, and its attenuation spectrum  $\alpha(v)$  in the window of 1400–1700 nm, for (a)  $g_R(\Delta v)$  and (b)  $\alpha(v)$ .

and (2). In (3) and (4), the raw and scaled fitness averages are kept equal, and the minimum raw fitness is mapped to a scaled fitness of zero.

#### D. For the Optimization of DMRA

In the optimization of DMRA, the dashed frame part in the right of Fig. 1 will be inserted in the flowchart. This part is critical for optimizing DMRA and is directional to all parameter set of DMRA. For meeting the required conditions of DMRA, every individual is checked, compared, and revised in this part.

Other parts in the flowchart are the same as the traditional GA.

### III. MATHEMATICAL MODEL FOR DMRA

In the Raman amplifiers, stimulated Raman scattering (SRS) can lead to the energy exchange between forward- and backward-propagating waves. Because the power of backscattering pumps and signals is lower by  $\sim 30$  dB and  $\sim 20$  dB than the original power, and the power of forward and backward noises is less than that of input signals by  $\sim 30$  dB [30], [31], such noise effects as spontaneous Raman scattering, Rayleigh backscattering, and thermal factor are skimmed in calculating the amplifier gain profile. Therefore, the major influence for designing the bandwidth of DMRA is the interactions of pump-to-pump, signal-to-signal, and pump-to-signal, as well as the attenuation.

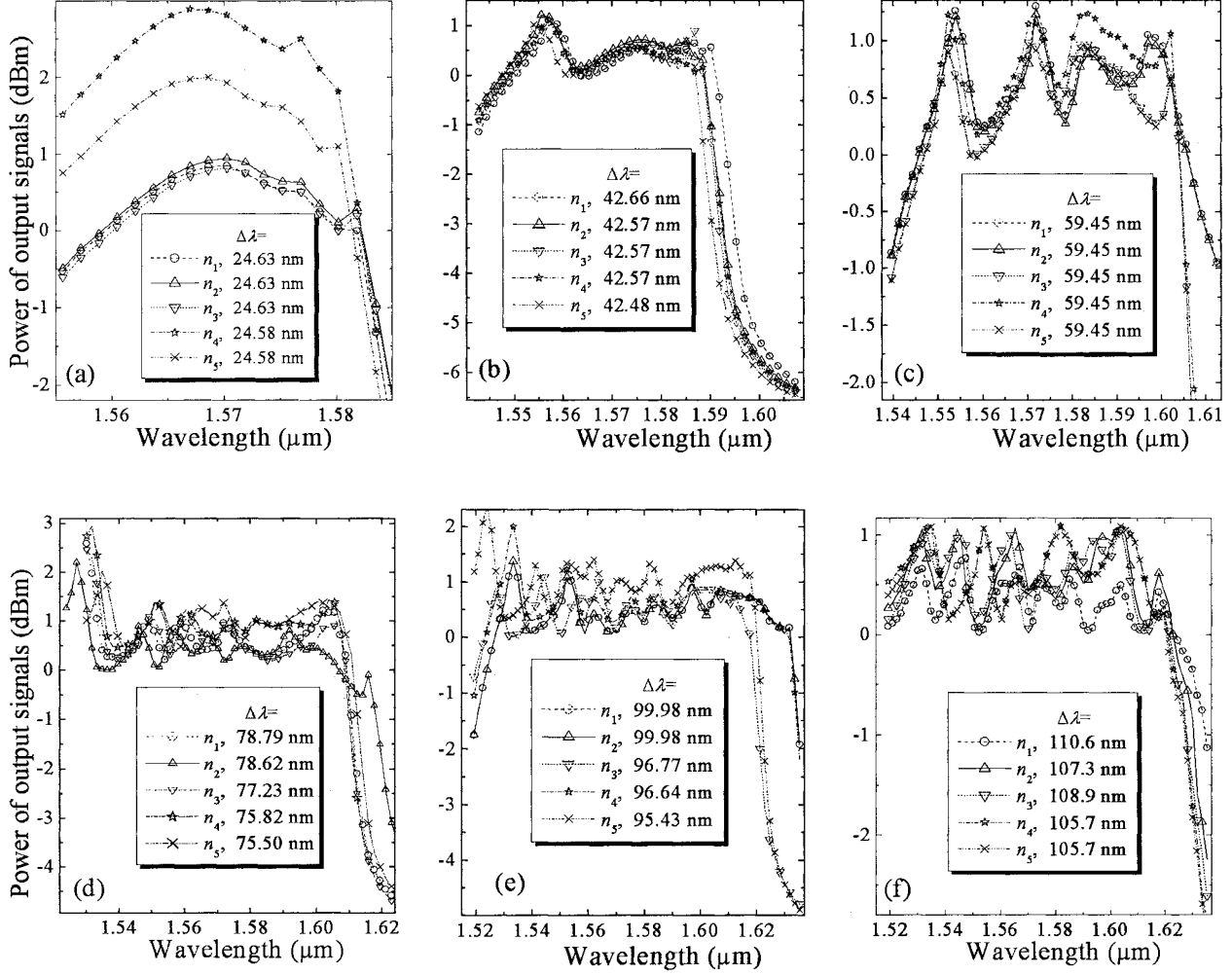


Fig. 4. Relationship between power of output signals and their wavelengths for (a) two pumps, (b) three pumps, (c) four pumps, (d) five pumps, (e) six pumps, and (f) seven pumps, respectively. The corresponding optimal values for power and wavelengths of pumps in (a)–(f) are tabularized in Tables I–VI, respectively.  $n_i$  ( $i = 1, \dots, 5$ ) in figures and tables represents the  $i$ th peak center, and  $\Delta\lambda$  is the optimal bandwidth for signals under the limiting conditions.

In the steady state, the coupled equation is described by [23], [24]

$$\pm \frac{dP_i}{dz} = \left[ -\alpha(v_i) + \sum_{j=1}^{i-1} \frac{g_R(v_j - v_i)}{\Gamma A_{\text{eff}}} P_j - \sum_{j=i+1}^m \frac{v_i}{v_j} \frac{g_R(v_i - v_j)}{\Gamma A_{\text{eff}}} P_j \right] P_i, \quad (i = 1, 2, \dots, m) \quad (5)$$

where  $P_i$ ,  $v_i$ , and  $\alpha_i$  are the power, frequency, and attenuation coefficient for the  $i$ th wave, respectively;  $A_{\text{eff}}$  is the effective area of optical fiber; the factor of  $\Gamma$  accounts for polarization randomization effects, whose value lies between 1 and 2; and  $g_R(v_j - v_i)$  is the Raman gain coefficient from wave  $j$  to  $i$ . The frequency ratio  $v_i/v_j$  describes vibrational losses. The minus and plus signs on the left-hand side describe the backward-propagating pump waves and forward-propagating signal waves, respectively. The frequencies  $v_i$  are numerated in decreasing order

of frequency ( $i = 1, 2, \dots, m$ ). Terms from  $j = 1$  to  $j = i - 1$  and from  $j = i + 1$  to  $j = m$  cause amplification and attenuation of the channel at frequency  $v_i$ , respectively.

#### IV. TEST FUNCTION

Real optimization problems often require the identification of multiple optima, either global or local or both. Our hybrid GA can maintain population diversity and permit it to investigate multiple peaks (including global and local) in parallel and can prevent the GA from being trapped in local optima of the search space. To prove these points, we employ as an example the test function

$$F(x, y) = \begin{cases} \frac{H_i}{R_i^2} \bar{R}^2 \left( \frac{\bar{R}^2}{R_i^2} - 2 \right) + H_i, & \text{if } \bar{R}^2 < R_i^2, x \in [0, 10], \\ & \text{and } y \in [0, 10] \\ 0, & \text{otherwise} \end{cases} \quad (6)$$

where  $\bar{R}^2 = (x - x_{ci})^2 + (y - y_{ci})^2$  and  $c_i$ ,  $R_i$ , and  $H_i$  ( $i = 1, \dots, 5$ ) are some constants:  $c_i = (x_{ci}, y_{ci}) = \{(2, 8), (3, 4),$

$(5, 7), (7, 8.5), (7, 4)\}$ ,  $R_i = \{1.5, 2.5, 1, 0.75, 3\}$ , and  $H_i = \{2, 4.4, 3, 4.5, 4\}$ . This test function consists of five peaks (i.e.,  $c_i$  is the  $i$ th center coordinate,  $R_i$  is the  $i$ th radius,  $H_i$  is the  $i$ th height, and  $i = 1, 2, 3, 4, 5$ ), which are displayed in Fig. 2. The values of five peaks are 4.5 at (7, 8.5), 4.4 at (3, 4), 4 at (7, 4), 3 at (5, 7), and 2 at (2, 8). Fig. 2 shows that the area of the second highest peak is much larger than that of the global peak, and its local peak value of 4.4 is very close to the global peak value of 4.5. It results in being very difficult to find the global peak without a special technique.

Each point in Fig. 2 corresponds to each individual in the last generation of GA a) for the three-dimensional figure of  $F(x, y)$  and the distribution of all individuals after our proposed GA and b) for the projection of all individuals of Fig. 2(a) in the  $xy$  plane. Five different symbols in Fig. 2(b) represent the individuals of five peak centers, respectively. In the calculation,  $n = k = 5$ ,  $r = 0.2$ ,  $N = 120$ , and  $g = 100$ . Fig. 2 shows that the individuals in our GA can be approximately uniformly distributed in each peak [Fig. 2(b)]. The simulation results also show that our GA can find all five peaks in each calculation, but that the traditional GA usually finds the second highest peak. Therefore, our proposed hybrid GA can effectively solve multimodal optimal problems and escape from being trapped in local optima of the search space.

In addition, the numerical simulation also proves that the computing time  $T$  of our GA is obviously shortened by comparison with the traditional sharing GA. The reason is that  $T \propto (kN)$  for our GA, but  $T \propto (N^2)$  for the traditional sharing GA [27].

## V. NUMERICAL SIMULATION AND RESULTS

### A. Initialization and Definition

To optimize pump spectra (i.e., wavelength and power), we apply the hybrid GA to calculate directly (5) instead of the multistage method of [23] and [24]. The detailed procedure is shown in the following. In *Initialization* of Fig. 1, first we define the range of every pump wavelength, which consists of fixed range and variable range. The variable range of pump wavelength is coded into the chromosome of GA, which is optimized in each generation (i.e., iteration step). Second, we define the range of each backward-propagating pump<sup>1</sup> power at  $z = 0$ , whose initial value is calculated from the algorithm of [31]. Finally, each pump spectrum (i.e., wavelength and power) and variable range are coded into the chromosome of individual. In the part of optimizing DMRA of each generation (see the dashed frame of Fig. 1), each individual is checked. If one individual does not satisfy the required conditions (e.g., gain and relative gain flatness  $F_{RG}$ ), it is discarded and is replaced by a new individual satisfying the required conditions, which is coded from the demands of *Initialization*.

The relative gain flatness  $F_{RG}$  is defined as [24]

$$F_{RG} = \frac{\Delta G}{(G_{\text{on-off}})_{\min}} \quad (7)$$

where  $\Delta G$  (dB) is the gain ripple, i.e., the difference between the maximal gain  $(G_{\text{on-off}})_{\max}$  and the minimal gain

<sup>1</sup>The input port of pump is at  $z = L$ .

TABLE I  
POWER, WAVELENGTH, AND BANDWIDTH OF FIVE PEAK CENTERS FOR TWO PUMPS IN FIG. 4(a).  $n_i$  ( $i = 1, \dots, 5$ ) ACCOUNTS FOR THE  $i$ TH PEAK CENTER AND  $\Delta\lambda$  IS THE OPTIMAL BANDWIDTH

	two pump power $P_j$ (mW) and their wavelength $\lambda_j$ (nm)				$\Delta\lambda$ (nm)
	$P_1$	$\lambda_1$	$P_2$	$\lambda_2$	
$n_1$	82.11	1465.00	320.29	1468.52	24.63
$n_2$	79.44	1464.95	326.57	1468.97	24.63
$n_3$	75.87	1464.86	324.55	1469.14	24.63
$n_4$	203.83	1464.87	289.84	1467.94	24.58
$n_5$	119.90	1464.10	334.69	1467.46	24.58

TABLE II  
POWER, WAVELENGTH, AND BANDWIDTH OF FIVE PEAK CENTERS FOR THREE PUMPS IN FIG. 4(b).  $n_i$  ( $i = 1, \dots, 5$ ) ACCOUNTS FOR THE  $i$ TH PEAK CENTER AND  $\Delta\lambda$  IS THE OPTIMAL BANDWIDTH

	three pump power $P_j$ (mW) and their wavelength $\lambda_j$ (nm)						$\Delta\lambda$ (nm)
	$P_1$	$\lambda_1$	$P_2$	$\lambda_2$	$P_3$	$\lambda_3$	
$n_1$	197.83	1448.14	52.62	1473.52	260.41	1476.44	42.66
$n_2$	195.73	1446.73	80.97	1471.28	241.21	1474.81	42.57
$n_3$	189.83	1447.03	203.28	1473.25	112.63	1473.94	42.57
$n_4$	189.28	1446.90	43.67	1469.00	274.96	1474.41	42.57
$n_5$	192.01	1445.34	184.36	1471.49	134.99	1473.41	42.48

TABLE III  
POWER, WAVELENGTH, AND BANDWIDTH OF FIVE PEAK CENTERS FOR FOUR PUMPS IN FIG. 4(c).  $n_i$  ( $i = 1, \dots, 5$ ) ACCOUNTS FOR THE  $i$ TH PEAK CENTER AND  $\Delta\lambda$  IS THE OPTIMAL BANDWIDTH

	four pump power $P_j$ (mW) and their wavelength $\lambda_j$ (nm)								$\Delta\lambda$ (nm)
	$P_1$	$\lambda_1$	$P_2$	$\lambda_2$	$P_3$	$\lambda_3$	$P_4$	$\lambda_4$	
$n_1$	248.30	1444.11	160.63	1460.43	85.28	1482.38	150.39	1499.70	59.45
$n_2$	247.99	1444.23	160.60	1460.45	85.25	1482.28	152.91	1499.50	59.45
$n_3$	223.39	1442.70	126.01	1459.32	165.99	1486.48	86.23	1487.88	59.45
$n_4$	234.80	1443.12	130.24	1459.42	172.02	1486.08	85.68	1492.40	59.45
$n_5$	222.64	1442.81	125.72	1459.34	165.97	1486.38	85.29	1487.92	59.45

$(G_{\text{on-off}})_{\min}[\Delta G = (G_{\text{on-off}})_{\max} - (G_{\text{on-off}})_{\min}]$ .  $G_{\text{on-off}}$  (dB) is the ON-OFF (or gross) Raman gain, defined as [32]

$$G_{\text{on-off}} = P(L) - P(0) + \alpha L \quad (8)$$

where  $P(L)$  and  $P(0)$  are the signal powers (dBm) at  $L$  and zero, respectively, and  $\alpha$  is the attenuation coefficient. From (7) and (8), one can see that the relative gain flatness  $F_{RG}$  is a dimensionless value.

### B. Parameters Set

In the numerical calculation, we employ the fast four-step method [31] and assume that  $A_{\text{eff}} = 80 \times 10^{-12} \text{ m}^2$ ,  $\Gamma = 2$ ,  $L = 50$  km,  $n = k = 5$ ,  $r = 0.15$ ,  $N = 600$ , and  $g = 1500$ . The power of each channel is 1 mW, spaced by 200 GHz/channel. The gain spectrum  $g_R(\Delta\nu)$  and attenuation spectrum  $\alpha(\nu)$  of the fiber are shown in Fig. 3 [33].<sup>2</sup>

### C. Optimized Results Between Bandwidth and Pump Number

Fig. 4 demonstrates the relationship between power of output signals and their wavelengths for a) two pumps, b) three pumps, c) four pumps, d) five pumps, e) six pumps, and f) seven pumps.

<sup>2</sup><http://syllabus.syr.edu/ELE/kdl/Ele682/smf28.PDF>

TABLE IV  
POWER, WAVELENGTH, AND BANDWIDTH OF FIVE PEAK CENTERS FOR FIVE PUMPS IN FIG. 4(d).  
 $n_i (i = 1, \dots, 5)$  ACCOUNTS FOR THE  $i$ TH PEAK CENTER AND  $\Delta\lambda$  IS THE OPTIMAL BANDWIDTH

	five pump power $P_i$ (mW) and their wavelength $\lambda_i$ (nm)										$\Delta\lambda$ (nm)
	$P_1$	$\lambda_1$	$P_2$	$\lambda_2$	$P_3$	$\lambda_3$	$P_4$	$\lambda_4$	$P_5$	$\lambda_5$	
$n_1$	518.77	1423.16	195.49	1440.06	91.05	1460.43	41.39	1488.89	63.80	1491.87	78.79
$n_2$	468.72	1421.60	194.95	1437.87	98.86	1456.29	61.51	1476.84	60.70	1498.20	78.62
$n_3$	471.58	1424.82	190.43	1441.06	102.00	1460.61	43.90	1490.17	69.57	1491.23	77.23
$n_4$	365.45	1428.18	210.35	1442.33	110.61	1459.94	65.09	1489.96	88.55	1493.56	75.82
$n_5$	516.58	1425.09	196.83	1442.46	75.17	1461.39	69.24	1488.67	53.58	1491.23	75.50

TABLE V  
POWER, WAVELENGTH, AND BANDWIDTH OF FIVE PEAK CENTERS FOR SIX PUMPS IN FIG. 4(e).  
 $n_i (i = 1, \dots, 5)$  ACCOUNTS FOR THE  $i$ TH PEAK CENTER AND  $\Delta\lambda$  IS THE OPTIMAL BANDWIDTH

	six pump power $P_i$ (mW) and their wavelength $\lambda_i$ (nm)										$\Delta\lambda$ (nm)
	$P_1$	$\lambda_1$	$P_2$	$\lambda_2$	$P_3$	$\lambda_3$	$P_4$	$\lambda_4$	$P_5$	$\lambda_5$	
$n_1$	417.70	1426.47	250.54	1443.91	101.74	1462.66	64.31	1481.69	13.40	1502.06	99.98
$n_2$	418.16	1426.27	250.71	1443.80	101.75	1462.64	64.31	1481.78	13.11	1502.26	99.98
$n_3$	329.52	1420.81	251.97	1436.00	142.11	1453.10	66.42	1470.41	29.97	1495.11	96.77
$n_4$	475.38	1426.27	239.40	1443.80	96.20	1462.64	66.51	1481.78	11.43	1502.26	96.64
$n_5$	571.25	1418.39	236.90	1433.54	135.11	1451.23	87.86	1469.49	31.96	1499.54	95.43

TABLE VI  
POWER, WAVELENGTH, AND BANDWIDTH OF FIVE PEAK CENTERS FOR SEVEN PUMPS IN FIG. 4(f).  
 $n_i (i = 1, \dots, 5)$  ACCOUNTS FOR THE  $i$ TH PEAK CENTER AND  $\Delta\lambda$  IS THE OPTIMAL BANDWIDTH

	seven pump power $P_i$ (mW) and their wavelength $\lambda_i$ (nm)												$\Delta\lambda$ (nm)
	$P_1$	$\lambda_1$	$P_2$	$\lambda_2$	$P_3$	$\lambda_3$	$P_4$	$\lambda_4$	$P_5$	$\lambda_5$	$P_6$	$\lambda_6$	
$n_1$	263.68	1424.11	235.29	1437.59	114.43	1455.03	69.47	1472.36	34.07	1487.19	30.09	1505.41	110.6
$n_2$	260.29	1425.08	249.56	1436.89	152.03	1452.72	47.66	1471.85	45.00	1487.02	33.29	1501.19	107.3
$n_3$	275.27	1424.27	264.02	1436.33	137.80	1454.88	47.19	1471.99	42.92	1487.46	30.93	1502.03	108.9
$n_4$	144.09	1423.12	278.91	1428.47	220.07	1444.49	87.65	1466.96	48.52	1487.63	32.69	1501.05	105.7
$n_5$	135.33	1423.12	294.00	1428.47	216.90	1444.49	87.10	1466.95	48.03	1487.64	32.07	1500.99	105.7

In the numerical calculations, the wavelength of the center channel is given as 1575 nm, and the number of channels is 25, 41, 45, 57, 71, and 75 for two, three, four, five, six, and seven pumps, respectively. We also assume that the gross Raman gain can compensate the attenuation of signals (i.e.,  $G_{\text{on-off}} > \alpha L$ , or the net gain is larger than zero),  $F_{\text{RG}} < 0.1$ , and the number of peak centers  $n = 5$ . After the numerical simulation based on our hybrid GA, the optimal results are plotted in Fig. 4(a)–(f) and their corresponding optimal values for power and wavelengths of pumps are tabularized in Table I–VI.  $n_i (i = 1, \dots, 5)$  in figures and tables represents the  $i$ th peak center, and  $\Delta\lambda$  is the optimal signal bandwidth under the above conditions.

From Fig. 4 and Tables I–VI, one can see that:

- 1) there are the same or approximately the same  $\Delta\lambda$ 's for each peak center for a given pump number;
- 2) the maximum  $\Delta\lambda$  increases with the addition of pump number, i.e.,  $\Delta\lambda$  is 24.63, 42.66, 59.45, 78.79, 99.98, and 110.6 nm for two, three, four, five, six, and seven pumps, respectively;
- 3) to realize the fixed  $\Delta\lambda$  in the experiments, therefore, there are several candidates by the optimization of our GA, as has important applications in the design of DMRA;
- 4) our proposed GA can effectively avoid the local trap during the optimal procedure;

- 5) the global maximum value  $\Delta\lambda$  lies in the first peak center  $n_1$ , as is determined in the assumption of our GA.

To more clearly understand the reaction between pumps and signals, the evolution of all channels transmitting along the fiber for the first peak center of six pumps [i.e.,  $n_1$  in Fig. 4(e)] is plotted in Fig. 5. It is easily found that, from Fig. 5:

- 1) there are strong interactions of signal-to-signal and pump-to-signal;
- 2) the pump-to-signal interaction can compensate the attenuation of signals and make signals increase when  $z > \sim 30$  km;
- 3) SRS effects of signal-to-signal make the power of higher frequency (i.e., shorter wavelength) waves flow into that of lower frequency (i.e., longer wavelength) waves.

#### D. Optimized Results for Bandwidth With $\Delta G$ and $G_{\text{on-off}}$

To reveal the influence of some major parameters on the DMRA bandwidth, we plotted the figures that show the relationships between  $\Delta\lambda$  and  $\Delta G$  or  $G_{\text{on-off}}$  in Figs. 6 and 7, respectively. In calculating Fig. 6, we assumed that the channel number of signals is 65 and the net gain is more than zero (i.e.,  $G_{\text{on-off}} > \alpha L$ ). Fig. 6 shows the relationships of  $\Delta\lambda$  with  $\Delta G$ , and of power of output signals with their wavelengths, where (a)–(e) show five examples of (f) under the conditions

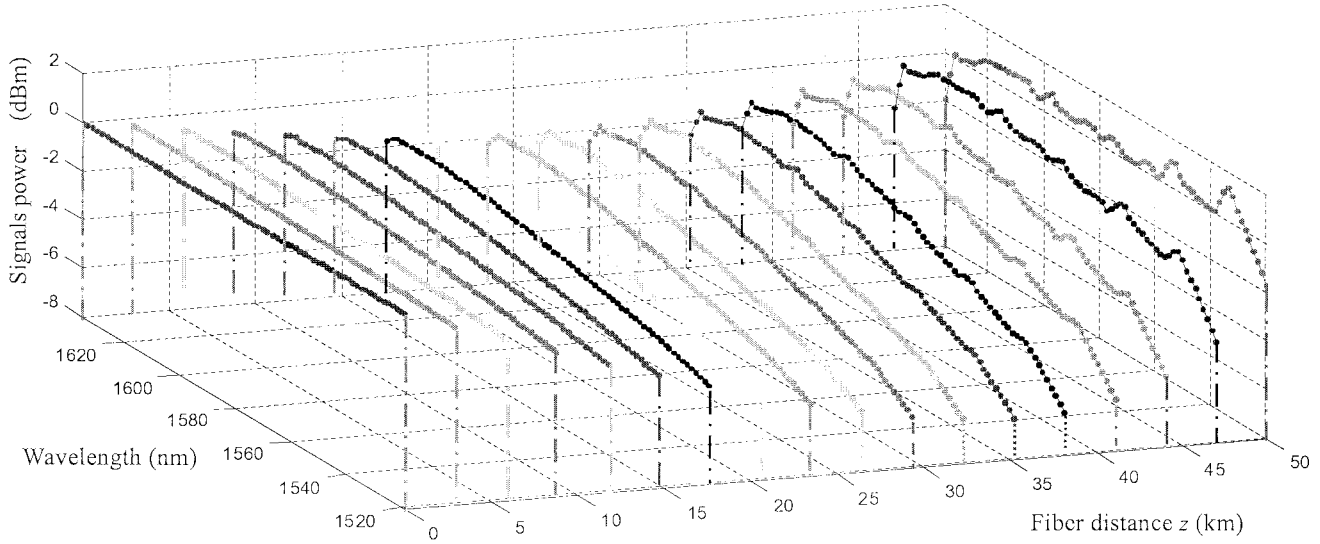


Fig. 5. Evolution of all channels transmitting along the fiber for the first peak center of six pumps, i.e.,  $n_1$  in Fig. 4(e).

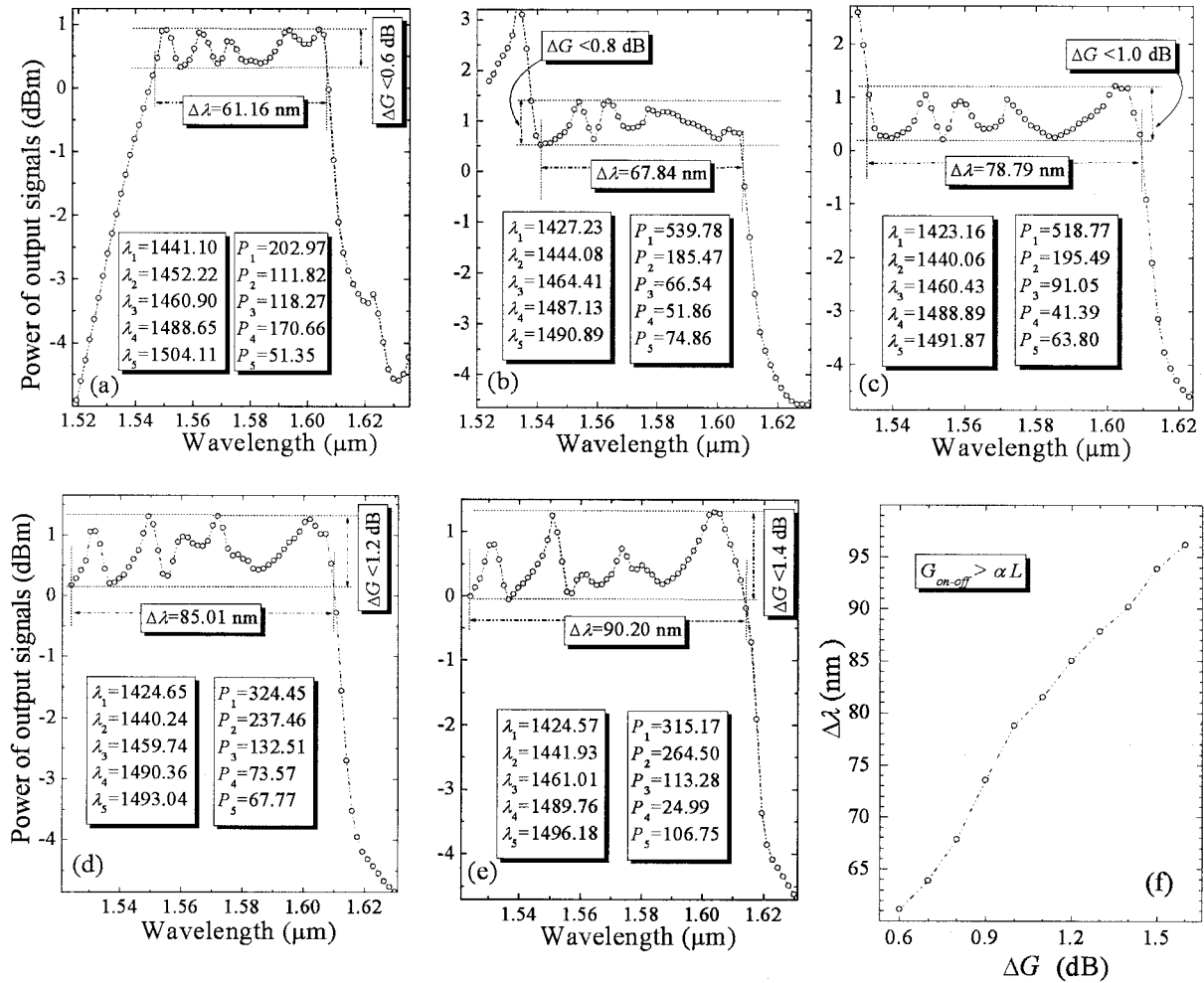


Fig. 6. Relationship of  $\Delta\lambda$  with  $\Delta G$  and of power of output signals with their wavelengths, where (a)–(e) show five examples of (f) under the conditions of  $\Delta G < 0.6, 0.8, 1.0, 1.2$ , and  $1.4$  dB, respectively.

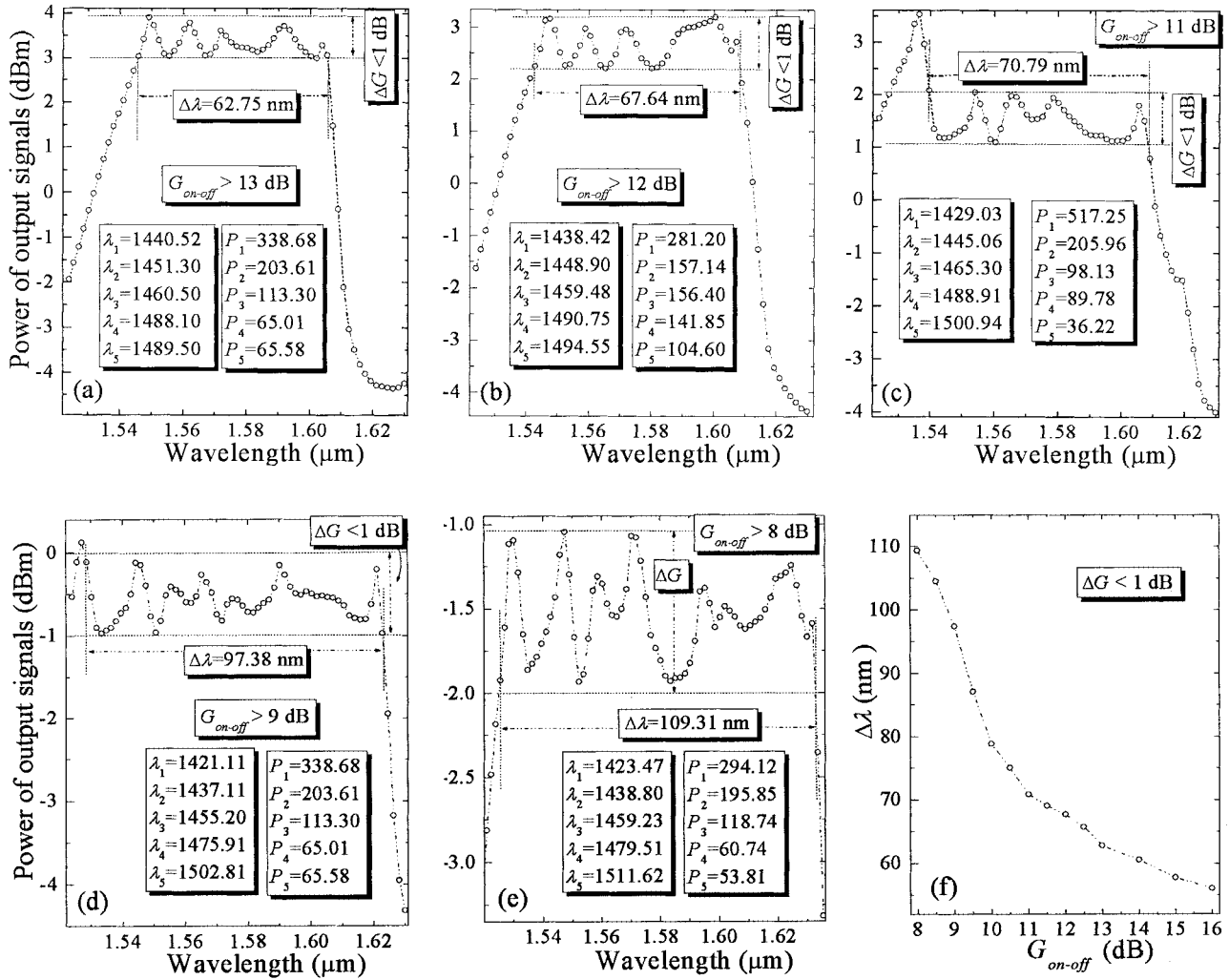


Fig. 7. Relationship of  $\Delta\lambda$  with gross Raman gain (i.e.,  $G_{on-off}$ ) and power of output signals with their wavelengths, where (a)–(e) show five examples of (f) under the conditions of  $G_{on-off} > 13, 12, 11, 9$ , and  $8$  dB, respectively.

of  $\Delta G < 0.6, 0.8, 1.0, 1.2$ , and  $1.4$  dB, respectively. Fig. 7 exhibits the relationships of  $\Delta\lambda$  with gross Raman gain (i.e.,  $G_{on-off}$ ) and of power of output signals with their wavelengths, where (a)–(e) show five examples of (f) under the conditions of  $G_{on-off} > 8, 9, 11, 12$ , and  $13$  dB, respectively. Additionally, the condition of  $\Delta G < 1$  dB is assumed in calculating curves of Fig. 7. The units of power  $P$  and wavelength  $\lambda$  in legends of Figs. 6 and 7 are mW and nm, respectively.

From Fig. 6(f), one can find that  $\Delta\lambda$  broadens with increase of  $\Delta G$ . Five examples in Fig. 6(a)–(e) can describe these phenomena in more detail, i.e.,  $\Delta\lambda = 61.16, 67.84, 78.79, 85.01$ , and  $90.20$  nm for  $\Delta G < 0.6, 0.8, 1.0, 1.2$ , and  $1.4$  dB, respectively. Therefore, increasing  $\Delta\lambda$  is at the cost of decreasing the flatness property.

From Fig. 7(a)–(e), one can see that  $\Delta\lambda$  is equal to  $62.75, 67.64, 70.79, 97.38$ , and  $109.31$  nm under the conditions of  $G_{on-off} > 13, 12, 11, 9$ , and  $8$  dB, respectively. So,  $\Delta\lambda$  decreases with the increase of gross Raman gain (i.e.,  $G_{on-off}$ ). The rule is shown in Fig. 7(f) more clearly. Figs. 6 and 7 show that, in pure DMRA, increasing the Raman gain and improving the flatness property lead to the decrease of  $\Delta\lambda$ . However, these

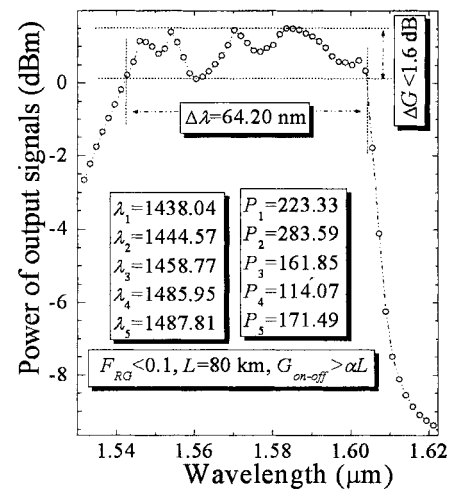


Fig. 8. Optimal results for pure DMRA.

shortcomings can be overcome in the following section, based on hybrid amplifiers (i.e., the combination of EDFA and DMRA).



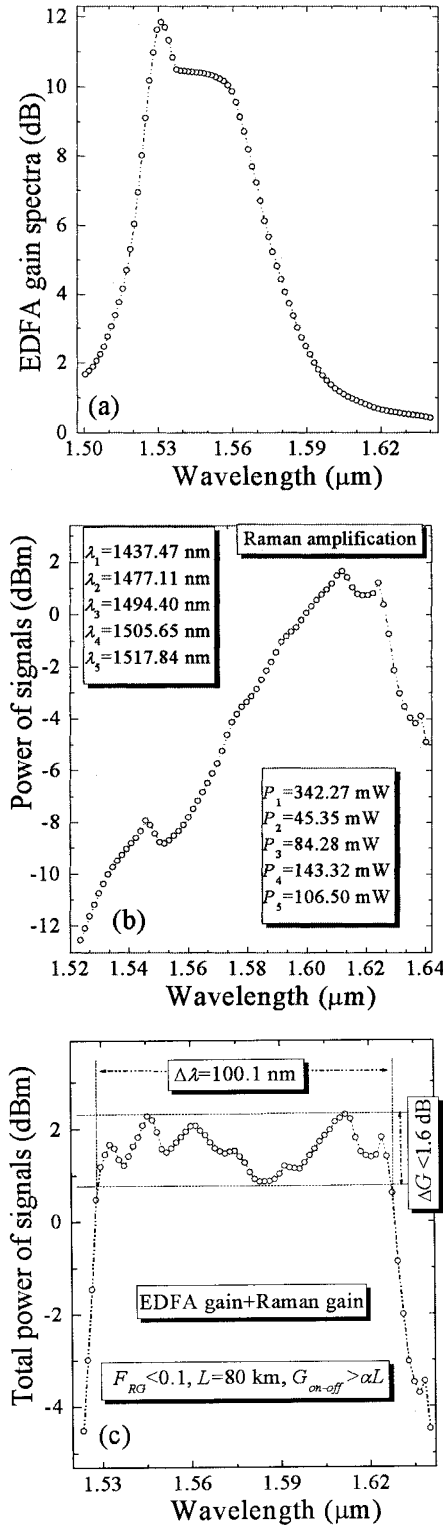


Fig. 9. EDFA gain spectra and optimal results for hybrid amplifier for (a) EDFA gain spectra, (b) pure Raman amplification, and (c) hybrid EDFA/DMRA amplification. The corresponding optimal values for power  $P$  and wavelength  $\lambda$  of pumps are included in the legend of (b).

#### E. Optimized Results for Hybrid Amplifier

Because EDFA can effectively amplify C-band [34] and increasing  $G_{\text{on-off}}$  is at the cost of decreasing  $\Delta\lambda$  for pure DMRA (see Fig. 7), hybrid amplifiers can overcome the indi-

vidual shortcoming and obtain both broadband  $\Delta\lambda$  and high gain. We optimize two examples, based on our proposed GA, to explain single and hybrid amplifiers. One is pure DMRA that is shown in Fig. 8, and the other is the hybrid amplifier demonstrated in Fig. 9. In the optimal simulations, we assume that the number of channels is 65, EDFA gain spectra is plotted in Fig. 9(a) [34],  $L = 80$  km,  $G_{\text{on-off}} > \alpha L$ , and  $F_{\text{RG}} < 0.1$ . Figs. 8 and 9 illustrate the optimal results for pure DMRA and hybrid amplifiers. One can find, from Figs. 8 and 9, that:

- 1)  $\Delta\lambda$  is 64.20 nm for pure DMRA but 100.1 nm for hybrid amplifier;
- 2) C-band channels are amplified mainly by EDFA, but L-band channels are done by DMRA;
- 3) hybrid amplifiers can obviously decrease the total pump power in the transmission fiber in comparison with pure DMRA, so the nonlinear effects (e.g., cross-phase modulation, four-wave mixing, etc.) can be mitigated greatly.

#### VI. CONCLUSION

A novel GA based on techniques such as clustering, sharing, crowding, and adaptive probability is proposed in this paper, for the first time to the authors' knowledge. A test function proves that our GA can obtain the global and all local peak values in parallel. Hence, the proposed GA can effectively solve the multimodal optimization (including the global and local optima) in DMRA. The simulation results show that the optimal signal bandwidth  $\Delta\lambda$  can be effectively broadened by means of increasing the number of pumps, i.e.,  $\Delta\lambda$  is 24.63, 42.66, 59.45, 78.79, 99.98, and 110.6 nm for two, three, four, five, six, and seven pumps, respectively.  $\Delta\lambda$  decreases with the improvement of flatness property and the increase of Raman gain, e.g., under the same conditions,  $\Delta\lambda = 61.16, 67.84, 78.79, 85.01$ , and  $90.20$  nm for  $\Delta G < 0.6, 0.8, 1.0, 1.2$ , and  $1.4$  dB; and  $\Delta\lambda = 62.75, 67.64, 70.79, 97.38$ , and  $109.31$  nm for  $G_{\text{on-off}} > 13, 12, 11, 9$ , and  $8$  dB in our optimal simulations, respectively. The optimal results of hybrid EDFA/DMRA amplifiers show that the weakness of pure DMRA can be availablely overcome, and that both higher gain and broader bandwidth can be realized simultaneously. For instance,  $\Delta\lambda$  is 64.20 and 100.1 nm for the pure DMRA and hybrid EDFA/DMRA under the conditions of  $G_{\text{on-off}} > \alpha L$ ,  $F_{\text{RG}} < 0.1$ ,  $L = 80$  km, and the pump number of five.

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